

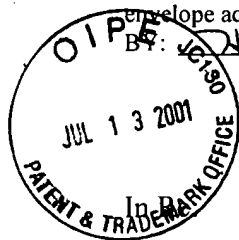
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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C., 20231, on the date indicated below.

By: Nelene Sahel

DATE: July 11, 2001

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of	:	
Ian Richard Anselm Peak <i>et al.</i>	:	Attention:
	:	Box Missing Parts
Conf. No.: 6450	:	
Appln. No.: 09/771,382	:	Group Art Unit: 1614
Filed: January 25, 2001	:	Attorney Docket
	:	No. 8795-24 U1
For: MODIFIED SURFACE ANTIGEN	:	(208795.0026)

TRANSMITTAL OF MISSING PARTS OF APPLICATION
FILING DATE GRANTED

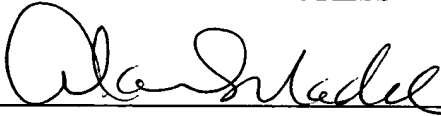
In response to the Notice to File Missing Parts dated April 11, 2001, we submit herewith the following:

- ☒ [X] Copy of the Notice to File Missing Parts of Application -- Filing Date Granted, mailed April 11, 2001.
- ☐ [] No Notice to File Missing Parts has been received.
- ☒ [X] Declaration and Power of Attorney.
- ☐ [] Verified English translation of application as filed.
- ☐ [] Priority document(s): Certified copy(ies) of _____ patent application No(s). _____, filed in _____ on _____ from which priority is claimed under 35 U.S.C. § 119.
- ☒ [X] Formal drawings: 31 sheets
- ☐ [] Information Disclosure Statement, PTO-1449, and cited references.
- ☒ [X] Preliminary Amendment.
- ☒ [X] Nucleotide and/or Amino Acid Sequence Submission, including:
 - ☒ [X] Computer readable copy
 - ☒ [X] Paper Copy
 - ☒ [X] Verified Statement
- ☒ [X] Petition for Extension of Time along with requisite fee.
- ☐ [] Request for Refund.
- ☒ [X] Request for Corrected Filing Receipt. Attached is a marked-up copy of the Filing Receipt showing corrections.
- ☐ [] Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ [] an Independent Inventor, or ☐ [] a Small Business Concern, or ☐ [] a Non-Profit Organization.
- ☒ [X] Amended Application Data Sheet

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$355.			BASIC FEE: \$710	
Total	32-20 =	12	X9	\$108.00	OR	X18	\$
Independent	2- 3=	0	X40	\$ 0.00	OR	X80	\$
[X] Multiple Dependent Claims Present			\$135	\$135.00	OR	\$270	\$
			TOTAL	\$598.00	OR	TOTAL	\$

- [X] The following fees noted below are being paid.
- [X] Surcharge of **\$65** for late filing of filing fee and/or Declaration and Power of Attorney.
 - [] Surcharge of **\$130** for late filing of English translation.
 - [X] Extension of Time fee in the amount of **\$55.00** (Petition for Extension of Time is attached).
 - [X] Filing Fee in the amount of **\$598.00** calculated above.
- [X] Enclosed is our firm's check in the amount of **\$718.00** for the above fee(s).
- [X] The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-1017 (**Billing No. 208795.0026**) as noted below. A duplicate copy of this sheet is enclosed.
- [] The above-calculated fee(s) calculated totaling \$____.00.
 - [X] Any overpayments or deficiencies in the above-calculated fee(s).
 - [X] Any additional fees required under 37 C.F.R. § 1.16 or § 1.17.
 - [X] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

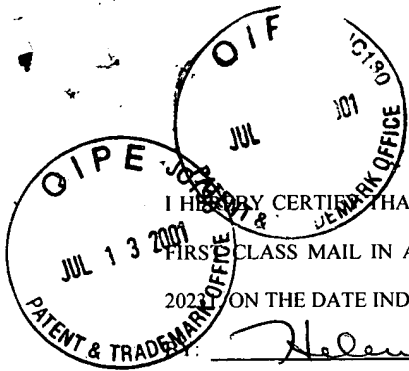
CORRESPONDENCE ADDRESS

July 11, 2001 By: 
 (Date)

ALAN S. NADEL
 Registration No. 27,363
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ASN:hg
 Enclosures

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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20227 ON THE DATE INDICATED BELOW.

Heleen Gabel

DATE: July 11, 2001

PATENT

BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of :
Ian Richard Anselm Peak *et al.* : Attn: Application Branch
Conf. No.: 6450 :
Appln. No.: 09/771,382 :
Filed: January 25, 2001 : Attorney Docket
: No. 8795-24 U1
For: MODIFIED SURFACE ANTIGEN : (208795.0026)


**STATEMENT TO SUPPORT FILING AND SUBMISSION
IN ACCORDANCE WITH 37 CFR §§ 1.821 THROUGH 1.825**

- (X) I hereby state, in accordance with the requirements of 37 C.F.R. §1.821(f), that the contents of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. §1.821(c) and (e), respectively, are the same.
- (X) I hereby state that the submission filed in accordance with 37 C.F.R. §1.821(g) does not include new matter.
- () I hereby state that the submission filed in accordance with 37 C.F.R. §1.821(h) does not include new matter or go beyond the disclosure in the international application as filed.
- () I hereby state that the amendments, made in accordance with 37 C.F.R. §1.825(a), included in the initial/substitute sheet(s) of the Sequence Listing are supported in the application, as filed, at pages _____. I hereby state that the substitute sheets(s) of the Sequence Listing does(do) not include new matter.
- () I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 C.F.R. §1.825(b), is the same as the amended Sequence Listing.

- () I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 C.F.R. §1.825(d), contains identical data to that originally filed.

Respectfully submitted,

IAN RICHARD ANSELM PEAK *ET AL.*

July 11, 2001 By: 
(Date)
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ASN:hg
Enclosures

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T08T70 38ET7450

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DATE July 11, 2001

**PATENT
BOX MISSING PARTS**

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Filed: January 25, 2001 : Attorney Docket
: No. 8795-24 U1
For: MODIFIED SURFACE ANTIGEN : (208795.0026)

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a COPY of the original Filing Receipt for the above-identified patent application, since the ORIGINAL was mailed to our foreign client. "Peak", the surname of the first inventor, was missing from the Filing Receipt mailed April 11, 2001. Please correct the name of the first inventor as noted. For your convenience, we have enclosed a COPY of the first page of the Filing Receipt showing the correction to be made, in red.

--Ian Richard Anselm Peak--

Please make this correction and provide us with a Corrected Filing Receipt.

Respectfully submitted,

IAN RICHARD ANSELM PEAK *ET AL.*

July 11, 2001 By: 
(Date)

ALAN S. NADEL
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ASN:hg
Enclosures



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COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/771,382	01/25/2001	1614	0.00	8795-24 U1	28	23	2

CONFIRMATION NO. 6450

000570

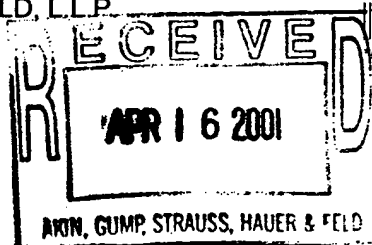
AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.

ONE COMMERCE SQUARE

2005 MARKET STREET, SUITE 2200

PHILADELPHIA, PA 19103

FILING RECEIPT



0C000000005958180*

Date Mailed: 04/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ian Richard Anselm, St. Lucia, AUSTRALIA;

Michael Paul Jennings, Carina, AUSTRALIA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/177,917 01/25/2000

APR 16 2001

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Modified surface antigen

Preliminary Class

514

Data entry by : ZEWDIE, HULEMENAYE

Team : OIPE

Date: 04/11/2001

LICENSE FOR FOREIGN FILING UNDER
Titl 35, United States Code, Section 184
Titl 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

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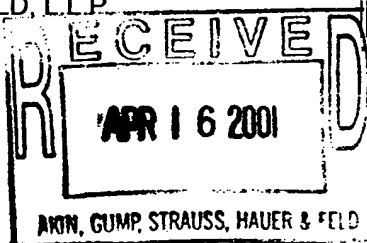
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